345708

SEC 1972 Potential persons who are to respond to the collection of information contained in this form (6-02) are not required to respond unless the form displays a currently valid OMB control number.

## **ATTENTION**

Failure to file notice in the appropriate states will not result in the federal exemption. Conversely, failure to file the appropria notice will not result in a loss of an available state exemption st exemption unless such exemption is predicated on the filing of notice.



07048634

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**PROCESSED** 

MAR 2 7 2007

THOMSON FINANCIAL FORM D

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR

UNIFORM LIMITED OFFERING EXEMPTIO

OMB APPROVAL †
OMB Number: 3235-0076
Expires: May 31, 2005

Estimated average burden hours per response... 1

SEC USE ONLY						
Prefix		Serial				
		70.00				
DAT	E RECEI	VED				

Name of Offering ([ ] check if this is an amendment and name has changed, and indicate change.)

#### **INTECH Enhanced Plus Fund LLC**

Filing Under (Check box(es) that apply):

[ ] Rule 504 [ ] Rule 505 [X] Rule 506 [ ] Section 4(6) [ ] ULOE

Type of Filing: [] Filing [X] Amendment

# A. BASIC IDENTIFICATION DATA

1. Enter the information requested about the issuer

Name of Issuer ([ ] check if this is an amendment and name has changed, and indicate change.)

### **INTECH Enhanced Plus Fund LLC**

Address of Executive Offices (Number and Street, City, State, Zip Code) Telephone Number (Including Area Code)

#### 151 Detroit Street, Denver CO 80206

(303) 333-3863

RECEIVED

Address of Principal Business Operations (Number and Street, City, State, Zip Code) Telephone Number (Including Area Code)

(if different from Executive Offices)

same

Brief Description of Business

To operate and carry on the business of a private investment trust.

3/5/07

#### Form D

Type of Business Organi	ization	J. e k
[ ] corporation	[ ] limited partnership, already formed [ X] other (please specify):	
[] business trust	[ ] limited partnership, to be formed limited liability company	
	Month Year	
Actual or Estimated Date	e of Incorporation or Organization: [08] [2003] [X] Actual [ ] Estimate	d
Jurisdiction of Incorporati	ion or Organization: (Enter two-letter U.S. Postal Service abbreviation for State: CN for Canada; FN for other foreign jurisdiction) [D][E]	i. 

#### **GENERAL INSTRUCTIONS**

#### Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When to File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

## State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix in the notice constitutes a part of this notice and must be completed.

#### A. BASIC IDENTIFICATION DATA

- 2. Enter the information requested for the following:
  - Each promoter of the issuer, if the issuer has been organized within the past five years;
  - Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a
    class of equity securities of the issuer;
  - Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
  - Each general and managing partner of partnership issuers.

			. •		7
Check Box(es) that Apply:	[X] Promoter [ ] Beneficial Owner	[ ] Executive Officer		General and/or Managing Partner	
Full Name (Last name Janus Capital Mana					Transport of Section 1821
	ce Address (Number and Street, Penver, CO 80206-4928	City, State, Zip Coo	de)		
Check Box(es) that Apply: Full Name (Last name)	[ ] Promoter [ ] Beneficial Owner e first, if individual)	[X] Executive Officer	[ ] Director [ ]	General and/or Managing Partner	And the second s
Frost, Gregory A.	no Addrona (Alumbar and Stroat	City State Zin Co	do		
	ce Address (Number and Street, Denver, CO 80206-4928	City, State, Zip Col			1
Check Box(es) that Apply:	[ ] Promoter [ ] Beneficial Owner	[X] Executive Officer	[ ] Director [ ]	General and/or Managing Partner	
Full Name (Last name Lao, Frank R.	e first, if individual)				
	ce Address (Number and Street, Denver, CO 80206-4928	City, State, Zip Co	de)		
Check Box(es) that Apply:	[ ] Promoter [ ] Beneficial Owner	[X] Executive Officer	[ ] Director [ ]	General and/or Managing Partner	The state of the s
Full Name (Last nam Nergaard, Jesper	e first, if individual)				
	ce Address (Number and Street, Denver, CO 80206-4928	City, State, Zip Co	de)		1
Check Box(es) that Apply:	[ ] Promoter [ ] Beneficial Owner	[X] Executive Officer	[ ] Director [ ]	General and/or Managing Partner	VI
Full Name (Last nam Rosenberg, Michelle					
	ce Address (Number and Street, Denver, CO 80206-4928	City, State, Zip Co	de)		
Check Box(es) that Apply:	[ ] Promoter [ ] Beneficial Owner	[X] Executive Officer	[ ] Director [ ]	General and/or Managing Partner	The second second
Full Name (Last nam Swift, Jack	e first, if individual)				
	ce Address (Number and Street, Denver, CO 80206-4928	, City, State, Zip Co	de)		

 $Form\,D$ 

5			
Check Box(es) that Apply:	[ ] Promoter [ ] Beneficial Owner	[X] Executive [ ] Directo Officer	r [ ] General and/or Managing Partner
Full Name (Last nam Zimmerman, John	e first, if individual)		
	ce Address (Number and Street Denver, CO 80206-4928	City, State, Zip Code)	1 2 3 4
Check Box(es) that Apply:	[ ] Promoter [X] Beneficial Owner	[ ] Executive [ ] Directo Officer	or [ ] General and/or Managing Partner
Full Name (Last nam AARP Employees' F			
	ce Address (Number and Street , <b>A8-350, Washington, DC 200</b>		] ;;

Form D

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

					B. IN	FORMA	TION A	BOUT O	FFERING	}			() ()
	the iss		, or does	s the iss	uer inter	nd to sell	, to non-	accredite	ed investo	ors in this	Yes [ ]	No [ <b>X</b> ]	1
		-						f filing un	der ULO	E. ·			1
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3. Do	es the of	fering p	ermit joi	nt owne	rship of a	a single (	unit?				Yes [X]	No [ ]	• "
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Name	of Asso	ciated E	Broker o	r Dealer									-
States	in Whi	ch Perso	n Listed	Has So	licited o	r Intends	to Solic	it Purcha	sers				Š
(Chec	k "All	States"	or chec	k indiv	idual St	ates)		••		[	] All St	tates	7
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[MT]	[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]	
[RI]	[SC]	[SD]	[TN]	[ТХ]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]	4
Full N	ame (La	ist name	first, if	individua	ai)								1
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States	s in Whic	h Perso	n Listed	Has Sc	licited o	r Intends	to Solic	it Purchase	ers			_	
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•••		(Use	e blank	sheet, c	or copy	and use	additio	nal copies	of thi	s sheet, a	s nece	ssary.)	
		C. OFF	ERING	PRICE,	NUMBE	R OF IN	IVESTO	RS, EXPE	NSES	AND USE	OF PR	OCEEDS	
and the the co	ne total a transacti	mount a on is an elow the	already s exchan	old. Ent ge offer	er "0" if ing, che	answer i ck this be	s "none" ox " and	is offering or "zero." indicate in change and	d				
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			offered					••••	\$		_\$	<del></del>	
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1	Non-accr	edited I	nvestors				• • • • • • • • • • • • • • • • • • • •	•••	·	0	_ \$		
	•	-			• •		• • • • • • • • • • • • • • • • • • • •			N/A		N/A_	
	Answ	er also i	n Apper	ıdix, Col	umn 4, i	f filing ur	nder ULC	DE.					
inform offering sale of	nation re	quested e types ies in th	for all sindicated	ecurities d, the tw	s sold by relve (12	the issue) months	enter the er, to da s prior to type liste	te, in		N/A			

Type of offering	Type of Security	Dollar Amount Sold
Rule 505		\$
Regulation A		- \$ <u></u>
Rule 504		\$
Total		\$
4. a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.  Transfer Agent's Fees  Printing and Engraving Costs  Legal Fees  Accounting Fees  Engineering Fees  Sales Commissions (specify finders' fees separately)  Other Expenses (identify)  Total	e	[]\$_0 []\$_0 []\$_0 []\$_0 []\$_0 []\$_0 []\$_0
Question 1 and total expenses furnished in response to Part C - Questi- difference is the "adjusted gross proceeds to the issuer."	ier used or any of the s	
	Officers,	
	Directors,	
	& Affiliates	Payments To
Salaries and fees	[]\$ <u>0</u>	
Purchase of real estate		[]\$ <u>0</u>
Purchase, rental or leasing and installation of machinery		
and equipment	[] \$ <u> </u>	[]\$ <u>0</u>
Construction or leasing of plant buildings and facilities	[]\$ <u>0</u>	[]\$ <u>0</u>
Acquisition of other businesses (including the value of	t 1 <b>↓</b>	(1 <del>*</del>
securities involved in this offering that may be used in		
exchange for the assets or securities of another issuer	[]\$ <u>0</u>	[]\$_0
pursuant to a merger)		
Repayment of indebtedness	[]\$ <u>0</u>	[]\$ <u>0</u>
Working capital	[]\$ <u>0</u>	[] \$ <u> </u>
Other (specify): Purchase Investment Securities		[X]\$ <u>654,294,020.86</u>
		[]\$ <u>0</u>
Column Totals		
Total Payments Listed (column totals added)	[]\$ <u>0</u> [X]\$ <u>6</u>	[X]\$ <u>654,294,020.86</u> 654,294,020.86

## D. FEDERAL SIGNATURE

The issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this notice is filed under Rule 505, the following signature constitutes an undertaking by the issuer to furnish to the U.S. Securities and Exchange Commission, upon written request of its staff, the information furnished by the issuer to any non-accredited investor pursuant to paragraph (b)(2) of Rule 502.

Issuer (Print or Type)	Signature / Date
INTECH Enhanced Plus Fund LLC	3/20/07
Name of Signer (Print or Type) Michelle Rosenberg	Title of Signer (Print or Type) Vice President

## **ATTENTION**

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)